

Privacy Statement

This form is based on the SEC Model Privacy Form

FACTS	What does NorthPointe Capital ("NPC") do with your personal information?
How?	All financial companies need to share client related information to some degree to run their everyday business. For example, in processing trades for client accounts we need to make sure that brokers and custodians have the correct account information. In the section below, we list possible ways your account information may be shared; whether we in fact engage in those activities; and whether you can limit this sharing.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> • Tax Identification number • Account Balances • Account Transactions and History When you are no longer our customer, we may continue to share your information for operational reasons as described below.
Why?	Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

Reasons we may need or desire to share your personal information	Does NPC in fact share in these cases?	Can you limit this sharing?
For our everyday business purposes -- such as to process your transactions, maintain your account(s), respond to court orders and legal investigations (if applicable)	Yes	No
For our internal client servicing and marketing purposes -- to share your information between our client services, operations and marketing personnel.	Yes	Yes
The following are possible ways information may be shared; however NPC does not engage in these. We are required to disclose these to you.		
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes -- information about your transactions and experiences	No	N/A
For our affiliates' everyday business purposes -- information about your creditworthiness	No	N/A
For our affiliates to market to you	No	N/A
For non-affiliates to market to you	No	N/A
Questions? Contact us toll free at 800-579-5587		

Who we are	
Who is providing this notice?	NorthPointe Capital, LLC ("NPC")

What we do	
How does NPC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We use physical and technical safeguards to protect your information. We restrict access to your information to those who need it to perform their jobs. Third-party business partners are bound by law to use the information only for our purposes. They may not disclose it or use it in any other way. We comply with all data security laws.
How does NPC collect my personal information?	We collect your personal information from different sources. for example: <ul style="list-style-type: none"> • When you send us information on contracts and related account forms. • When you transact with us.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes • Affiliates from using your information to market to you. • Sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.

DEFINITIONS	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non Affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other Important Information
We value you as a customer and respect your right to privacy. You have placed your trust in us and we will stand behind our promises. We pledge our commitment to treat your information responsibly, and we created this privacy policy to show you that we are working hard to protect your privacy.